

Image

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Customer Number



1732

Patent

Case No.: 56233US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: ALLEN, RICHARD C.

Application No.: 09/965417

Group Art Unit: 1732

Filed: September 27, 2001

Examiner: VARGOT, Mathieu D.

Title: METHODS OF MAKING POLARIZATION ROTATORS AND ARTICLES  
CONTAINING THE POLARIZATION ROTATORS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Dec. 31, 2003  
Date

Shannon M. Bruce  
Signed by: Shannon M. Bruce

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449 citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Dec. 31, 2003  
Date

By: B. E. Black  
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3M Innovative Properties Company  
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Dat

S ptember 27, 2001

First Named Invent r

All n, Richard C.

Art Unit

1732

Examiner Name

VARGOT, Mathieu D.

Attorney Case Number

56233US002

(Use as many sheets as necessary)

Page 1 of 1

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

## Foreign Patent Documents

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		Ctry. Code	Number-KindCode (If known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Shannon, P. J., "Patterned optical properties in photopolymerized surface-aligned liquid-crystal films," <u>Nature</u> , vol. 368, pp. 532-533 (7 April 1994)
	C2	
	C3	

\*Examiner:

Date C nsidered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)